

FORM PTO-1390  
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

MUR-8558US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/743164

INTERNATIONAL APPLICATION NO.  
PCT/GB99/02082INTERNATIONAL FILING DATE  
12 July 1999PRIORITY DATE CLAIMED  
11 July 1998TITLE OF INVENTION  
IMPROVED PROCESS MONITOR

APPLICANT(S) FOR DO/EO/US

Mark Burton Holbrook, William George Beckmann, and Jacques Andre Grange

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409) with Annex (amended claims).

## Items 11 to 16 below concern documents(s) or information included:

11. ☒ An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
- ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/743164</b>		INTERNATIONAL APPLICATION NO. PCT/GB99/02082		ATTORNEY DOCKET NUMBER MUR-8558US	
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<p>16. <input checked="" type="checkbox"/> The following fees are submitted:  <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1) - (5)):</b>  <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00  <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .... \$860.00  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</p>	<p><b>CALCULATIONS PTO USE ONLY</b></p>
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<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 860	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	

CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE		
Total claims	31 - 20 =	11	X \$18.00	\$ 198	
Independent claims	3 - 3 =	0	X \$80.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input type="checkbox"/>				+ \$270.00	\$ 0
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ 1,058</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$ 1,058</b>	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$ 1,058</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$ 1,058</b>	
				Amount to be refunded:	\$
				Charged:	\$

a. ☒ A check in the amount of **\$1058** to cover the above fees is enclosed.

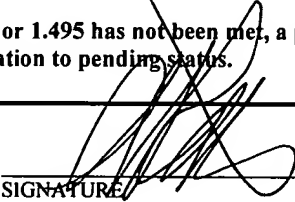
b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **18-0350**. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

<p>SEND ALL CORRESPONDENCE TO:  Allan Ratner  Ratner &amp; Prestia  Suite 301  One Westlakes, Berwyn  P.O. Box 980  Valley Forge, PA 19482-0980  (610) 407-0700</p>	<p style="text-align: center;">   _____  SIGNATURE  Allan Ratner  NAME  19,717  REGISTRATION NUMBER  January 5, 2001  DATE </p>
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500 Rec'd PCT/PTO 05 JAN 2001

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.27)**

Applicant(s): Mark Burton Holbrook et al.

Docket No.

MUR-8558US

Serial No.

(to be assigned)

09/743164

Filing Date

(herewith)

Examiner

Group Art Unit

Invention: **IMPROVED PROCESS MONITOR**  
(U.S. National Phase of Interntl Appli No PCT/GB99/02082; Interntl Filing Date: 12 July 1999)

I hereby certify that the following correspondence:

Transmittal Form PTO-1390 with listed enclosures

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 5, 2001

(Date)

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)

Kathleen Libby

(Signature of Person Mailing Correspondence)

EL73696587645

("Express Mail" Mailing Label Number)

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